

# Why Weighted Finite-State Transducers?

## 1. Efficiency and Generality of Classical Automata Algorithms

- Efficient algorithms for a variety of problems (e.g. string-matching, compilers, Unix, design of controllability systems in aircrafts).
- General algorithms: rational operations, intersection.

## 2. Weights

- Handling uncertainty: text, handwritten text, speech, image, biological sequences.
- Increased generality: finite-state transducers, multiplicity.

## 3. Applications

- Text: pattern-matching, indexation, compression.
- Speech: Large-vocabulary speech recognition, speech synthesis.
- Image: image compression, filters.

## Software Libraries

- **FSM Library:** Finite-State Machine Library – general software utilities for building, combining, optimizing, and searching weighted automata and transducers.

<http://www.research.att.com/sw/tools/fsm/>

- **GRM Library:** Grammar Library – general software collection for constructing and modifying weighted automata and transducers representing grammars and statistical language models.

<http://www.research.att.com/sw/tools/grm/>

## Weight Sets: Semirings

A *semiring*  $(\mathbb{K}, \oplus, \otimes, \bar{0}, \bar{1})$  = a ring that may lack negation.

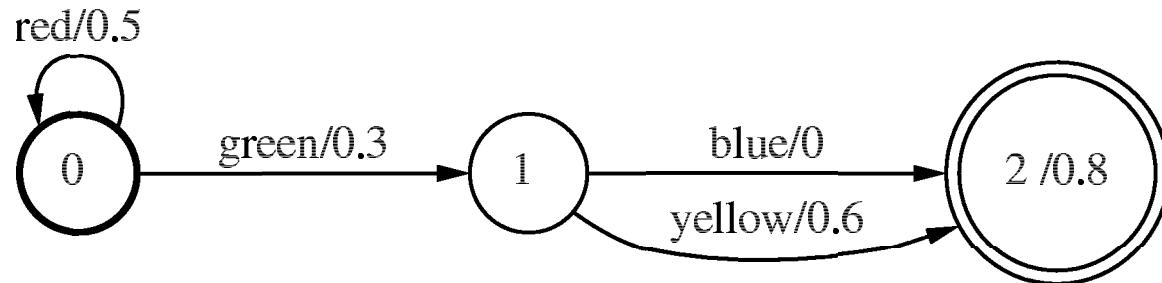
- **Sum:** to compute the weight of a sequence (sum of the weights of the paths labeled with that sequence).
- **Product:** to compute the weight of a path (product of the weights of constituent transitions).

SEMIRING	SET	$\oplus$	$\otimes$	$\bar{0}$	$\bar{1}$
Boolean	$\{0, 1\}$	$\vee$	$\wedge$	0	1
Probability	$\mathbb{R}_+$	+	$\times$	0	1
Log	$\mathbb{R} \cup \{-\infty, +\infty\}$	$\oplus_{\log}$	+	$+\infty$	0
Tropical	$\mathbb{R} \cup \{-\infty, +\infty\}$	$\min$	+	$+\infty$	0

with  $\oplus_{\log}$  defined by:  $x \oplus_{\log} y = -\log(e^{-x} + e^{-y})$ .

## Automata/Acceptors

- **Graphical Representation (A.ps):**



- **Acceptor File (A.txt):**

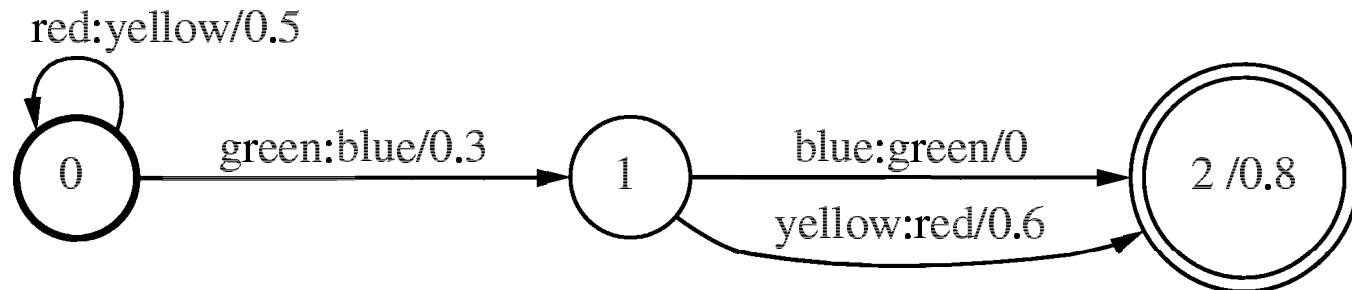
0	0	red	.5
0	1	green	.3
1	2	blue	
1	2	yellow	.6
2	.8		

- **Symbols File (A.syms):**

red	1
green	2
blue	3
yellow	4

## Transducers

- **Graphical Representation** (T.ps):



- **Transducer File** (T.txt):

0	0	red	yellow	.5
0	1	green	blue	.3
1	2	blue	green	
1	2	yellow	red	.6
2	.8			

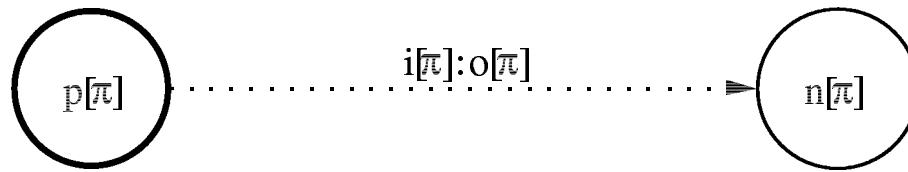
- **Symbols File** (T.syms):

red	1
green	2
blue	3
yellow	4

## Definitions and Notation – Paths

- **Path  $\pi$**

- Origin or previous state:  $p[\pi]$ .
- Destination or next state:  $n[\pi]$ .
- Input label:  $i[\pi]$ .
- Output label:  $o[\pi]$ .



- **Sets of paths**

- $P(R_1, R_2)$ : set of all paths from  $R_1 \subseteq Q$  to  $R_2 \subseteq Q$ .
- $P(R_1, x, R_2)$ : paths in  $P(R_1, R_2)$  with input label  $x$ .
- $P(R_1, x, y, R_2)$ : paths in  $P(R_1, x, R_2)$  with output label  $y$ .

## Definitions and Notation – Automata and Transducers

### 1. General Definitions

- Alphabets: input  $\Sigma$ , output  $\Delta$ .
- States:  $Q$ , initial states  $I$ , final states  $F$ .
- Transitions:  $E \subseteq Q \times (\Sigma \cup \{\epsilon\}) \times (\Delta \cup \{\epsilon\}) \times \mathbb{K} \times Q$ .
- Weight functions:
  - initial weight function  $\lambda : I \rightarrow \mathbb{K}$
  - final weight function  $\rho : F \rightarrow \mathbb{K}$ .

### 2. Machines

- Automaton  $A = (\Sigma, Q, I, F, E, \lambda, \rho)$  with for all  $x \in \Sigma^*$ :

$$[A](x) = \bigoplus_{\pi \in P(I, x, F)} \lambda(p[\pi]) \otimes w[\pi] \otimes \rho(n[\pi])$$

- Transducer  $T = (\Sigma, \Delta, Q, I, F, E, \lambda, \rho)$  with for all  $x \in \Sigma^*, y \in \Delta^*$ :

$$[T](x, y) = \bigoplus_{\pi \in P(I, x, y, F)} \lambda(p[\pi]) \otimes w[\pi] \otimes \rho(n[\pi])$$

## Rational Operations – Algorithms

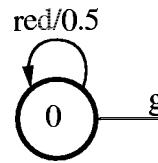
- **Definitions**

OPERATION	DEFINITION AND NOTATION
Sum	$\llbracket T_1 \oplus T_2 \rrbracket(x, y) = \llbracket T_1 \rrbracket(x, y) \oplus \llbracket T_2 \rrbracket(x, y)$
Product	$\llbracket T_1 \otimes T_2 \rrbracket(x, y) = \bigoplus_{x=x_1 x_2, y=y_1 y_2} \llbracket T_1 \rrbracket(x_1, y_1) \otimes \llbracket T_2 \rrbracket(x_2, y_2)$
Closure	$\llbracket T^* \rrbracket(x, y) = \bigoplus_{n=0}^{\infty} \llbracket T \rrbracket^n(x, y)$

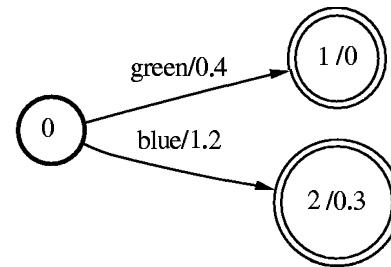
- **Conditions on the closure operation:** condition on  $T$ : e.g. weight of  $\epsilon$ -cycles  $= \bar{0}$  (*regulated transducers*), or semiring condition: e.g.  $\bar{1} \oplus x = \bar{1}$  as with the tropical semiring (*locally closed semirings*).
- **Complexity and implementation**
  - Complexity (linear):  $O((|E_1| + |Q_1|) + (|E_2| + |Q_2|))$  or  $O(|Q| + |E|)$ .
  - Lazy implementation.

## Sum – Illustration

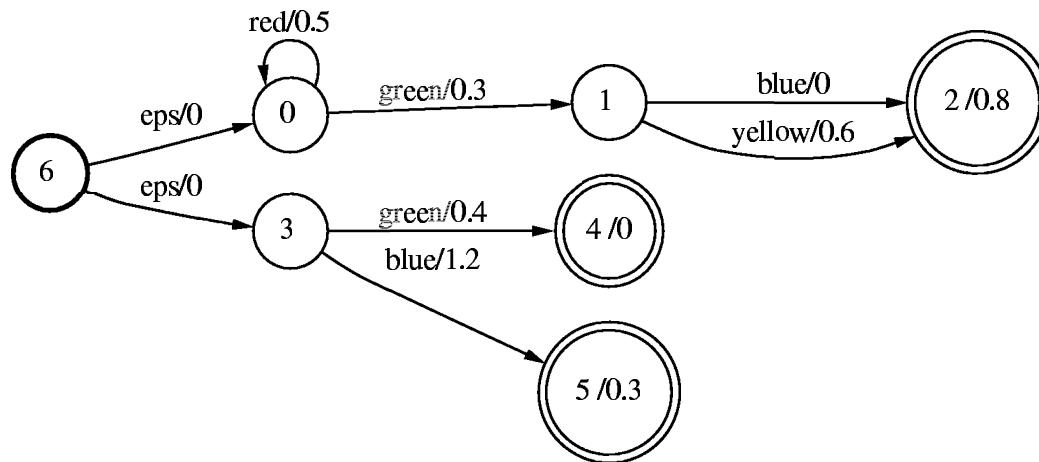
- Program: fsmunion A.fsm B.fsm >C.fsm
- Graphical Representation:



A.fsa



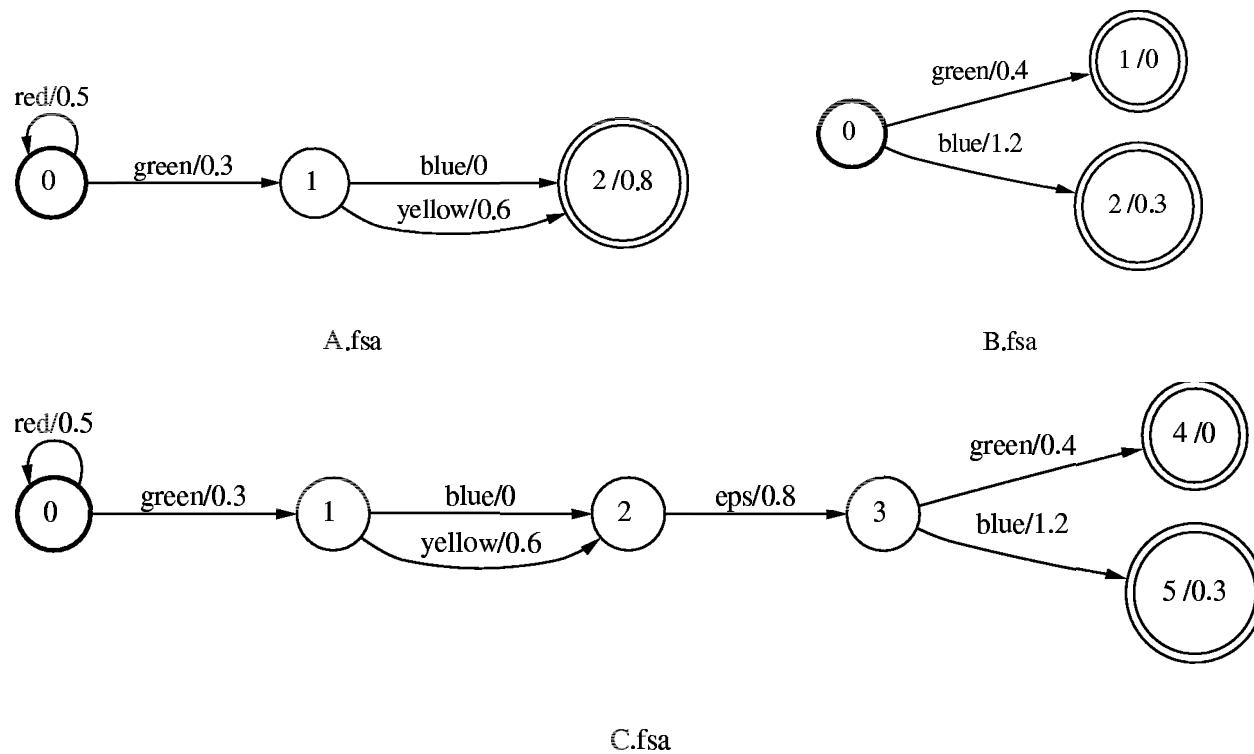
B.fsa



C.fsa

## Product – Illustration

- Program: fsmconcat A.fsm B.fsm >C.fsm
- Graphical Representation:



## Some Elementary Unary Operations – Algorithms

- Definitions

OPERATION	DEFINITION AND NOTATION	LAZY IMPLEMENTATION
Reversal	$\llbracket \tilde{T} \rrbracket(x, y) = \llbracket T \rrbracket(\tilde{x}, \tilde{y})$	No
Inversion	$\llbracket T^{-1} \rrbracket(x, y) = \llbracket T \rrbracket(y, x)$	Yes
Projection	$\llbracket A \rrbracket(x) = \bigoplus_y \llbracket T \rrbracket(x, y)$	Yes

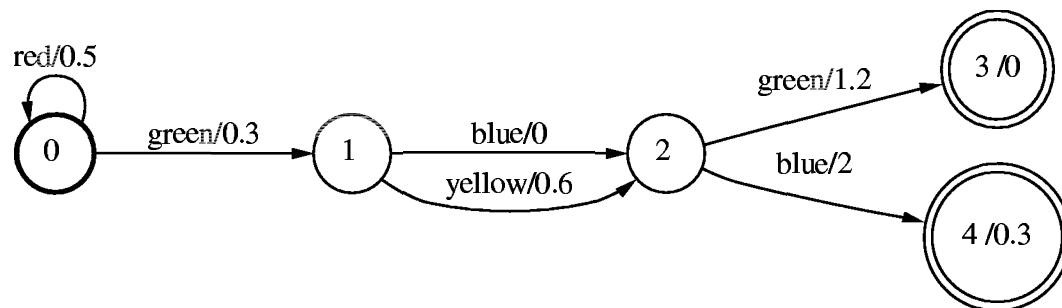
- Complexity and implementation

- Complexity (linear):  $O(|Q| + |E|)$ .
- Lazy implementation (see table).

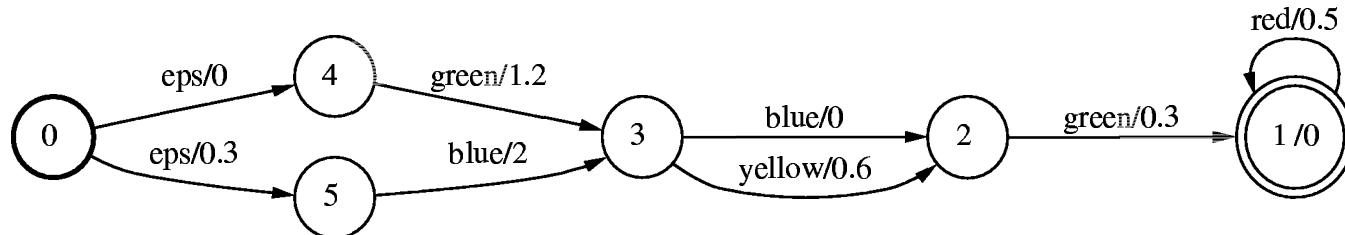
## Reversal – Illustration

- Program: `fsmreverse A.fsm >C.fsm`

- Graphical Representation:



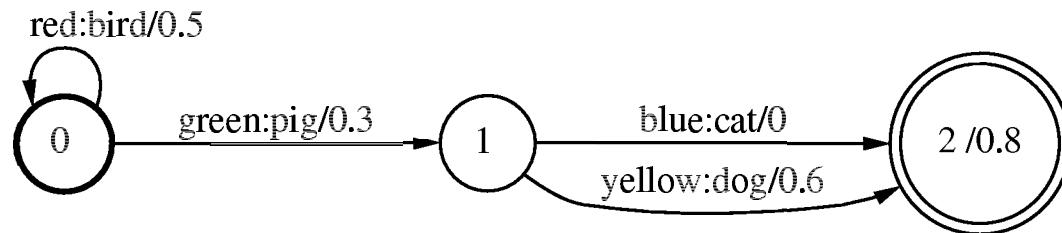
A.fsa



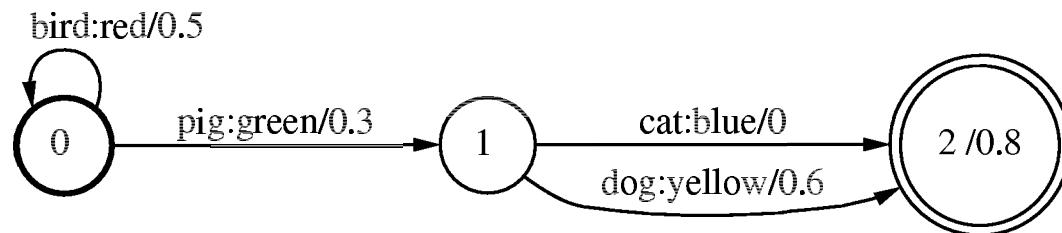
C.fsa

## Inversion – Illustration

- Program: `fsminvert A.fsm >C.fsm`
- Graphical Representation:



A.fsm



C.fsm

## Some Fundamental Binary Operations – Algorithms

- Definitions

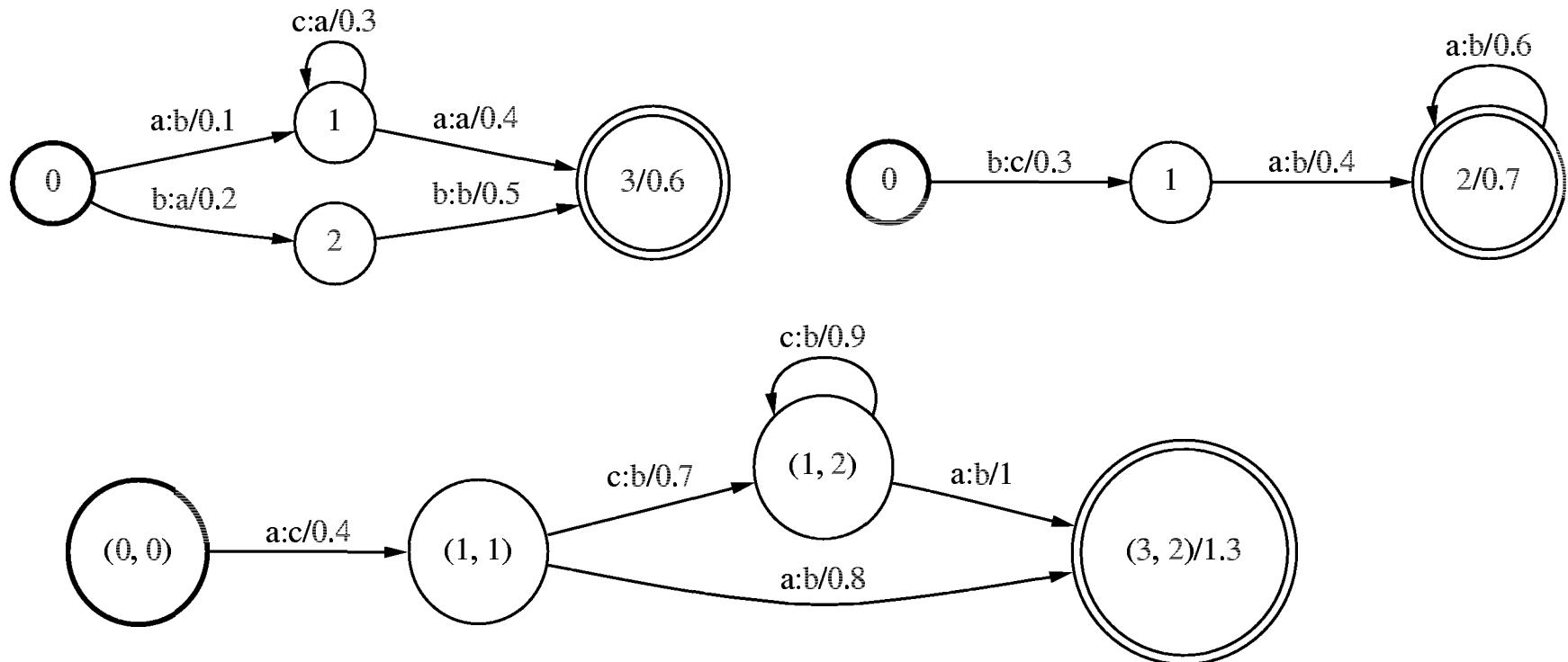
OPERATION	DEFINITION AND NOTATION	CONDITION
Composition	$\llbracket T_1 \circ T_2 \rrbracket(x, y) = \bigoplus_z \llbracket T_1 \rrbracket(x, z) \otimes \llbracket T_2 \rrbracket(z, y)$	$\mathbb{K}$ commutative
Intersection	$\llbracket A_1 \cap A_2 \rrbracket(x) = \llbracket A_1 \rrbracket(x) \otimes \llbracket A_2 \rrbracket(x)$	$\mathbb{K}$ commutative
Difference	$\llbracket A_1 - A_2 \rrbracket(x) = \llbracket A_1 \cap \overline{A_2} \rrbracket(x)$	$A_2$ unweighted & deterministic

- Complexity and implementation

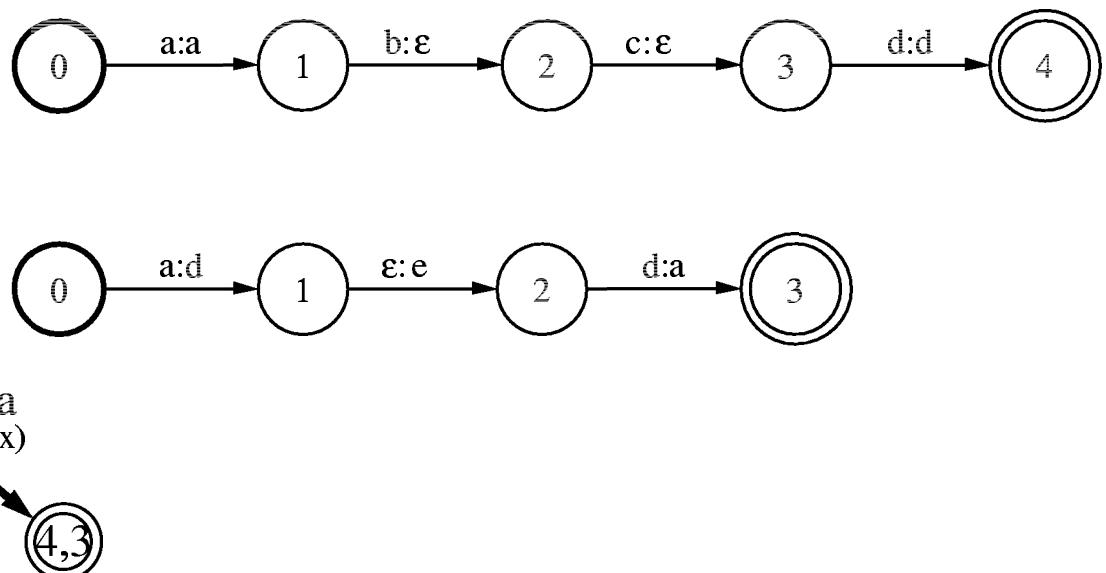
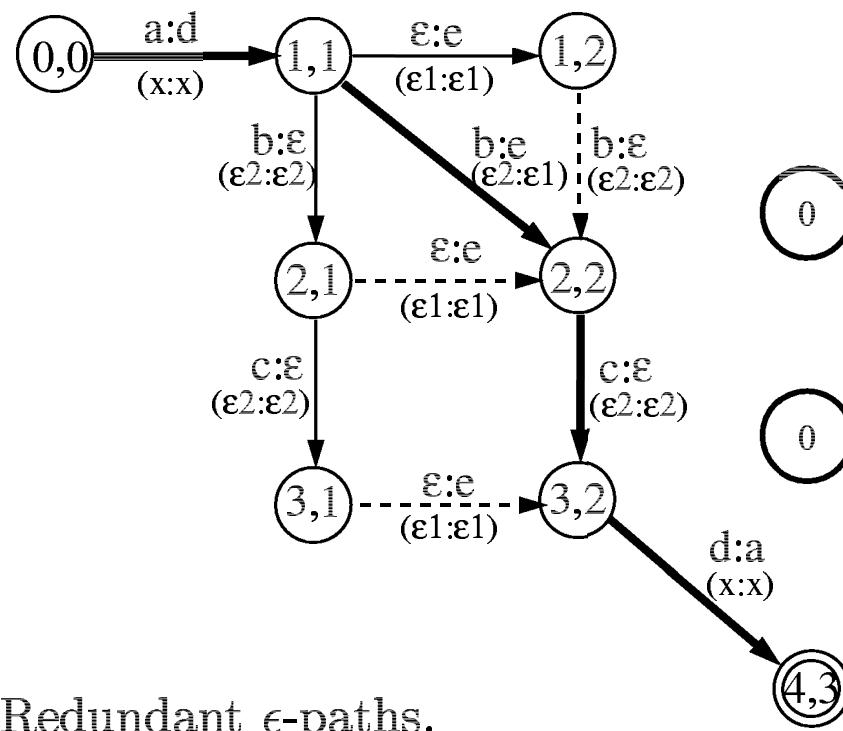
- Complexity (quadratic):  $O((|E_1| + |Q_1|)(|E_2| + |Q_2|))$ .
- Path multiplicity in presence of  $\epsilon$ -transitions:  $\epsilon$ -filter.
- Lazy implementation.

## Composition – Illustration

- Program: `fsmcompose A.fsm B.fsm >C.fsm`
- Graphical Representation:

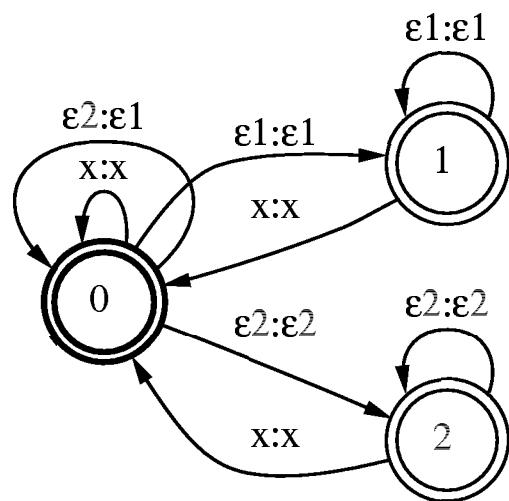


## Multiplicity & $\epsilon$ -Transitions – Problem



Redundant  $\epsilon$ -paths.

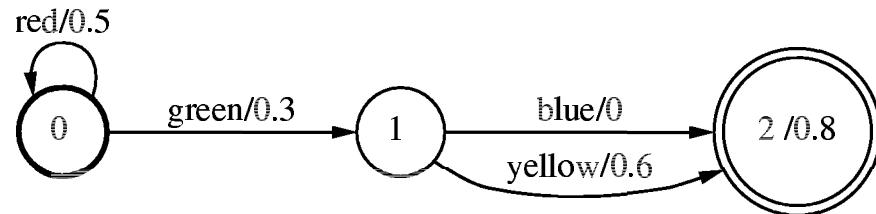
## Solution – Filter $F$ for Composition



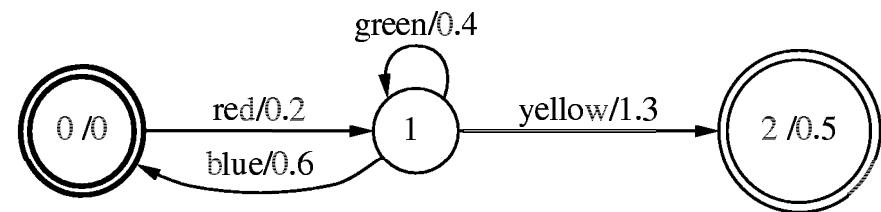
Replace  $T_1 \circ T_2$  by  $T_1 \circ F \circ T_2$ .

## Intersection – Illustration

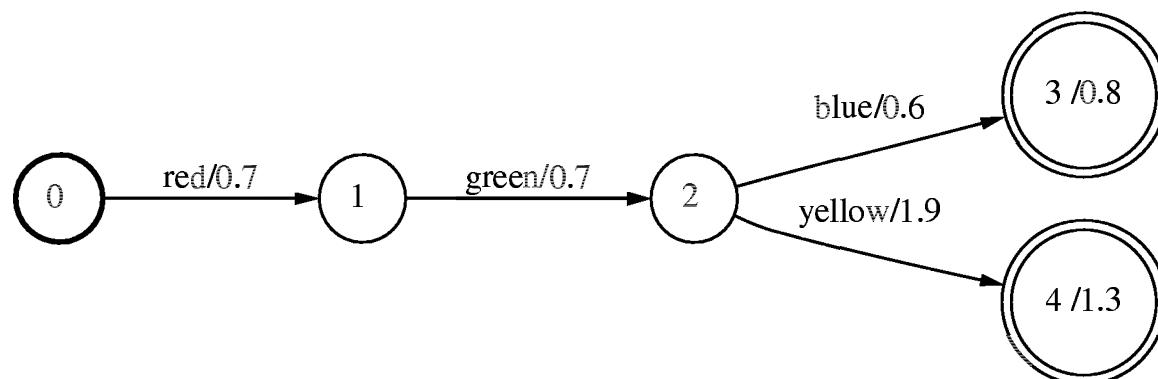
- Program: fsmintersect A.fsm B.fsm >C.fsm
- Graphical Representation:



A.fsa



B.fsa



C.fsa

## Single-Source Shortest-Distance Algorithms – Algorithm

- **Generic single-source shortest-distance algorithm**

- Definition: for each state  $q$ ,

$$d[q] = \bigoplus_{\pi \in P(q, F)} w[\pi]$$

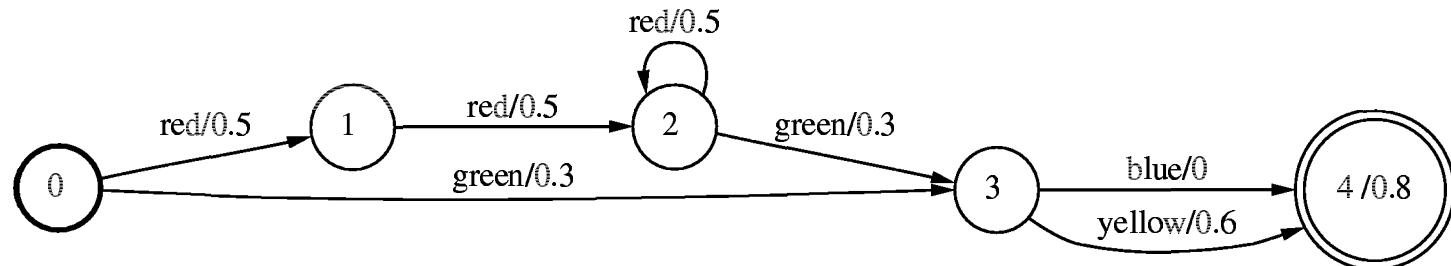
- Works with any queue discipline and any semiring  $k$ -closed for the graph.
  - Coincides with classical algorithms in the specific case of the tropical semiring and the specific queue disciplines: best-first (Dijkstra), FIFO (Bellman-Ford), or topological sort order (Lawler).

- **$N$ -best strings algorithm**

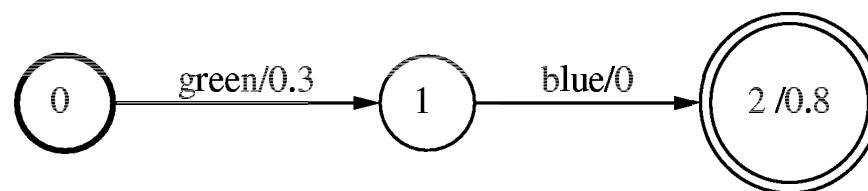
- General  $N$ -best paths algorithm augmented with the computation of the potentials.
  - On-the-fly weighted determinization.

## Single-Source Shortest-Distance Algorithms – Illustration

- **Program:** fsmbestpath [-n N] A.fsm >C.fsm
- **Graphical Representation:**



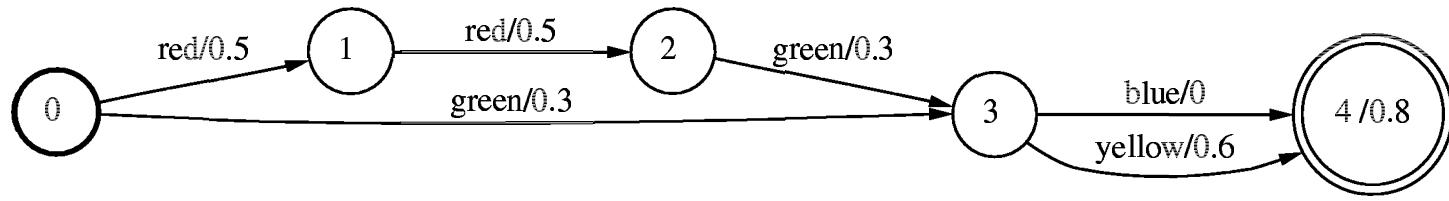
A.fsa



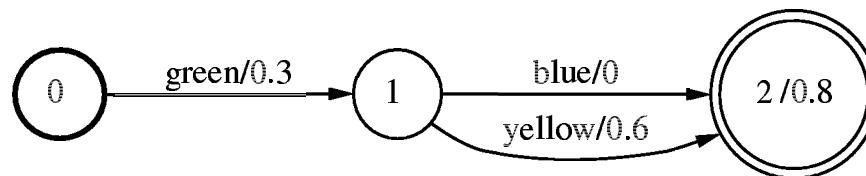
C.fsa

## Pruning – Illustration

- Program: `fsmprune -c1.0 A.fsm >C.fsm`
- Graphical Representation:



A.fsa



C.fsa