

# LECTURE #2

Sept 18 2014 (8)

## PROBLEMS:

State-space: Explore the state-space based on input data  
Reach a final state, which allows one  
to make a decision:  $\in \{0,1\}$

How one explores the state space is  
based on a set of computational  
rules?

↳ "stuff doing  
stuff to other  
stuff."

Q1-250

Resources: How much stuff  
do you need?

{ Time, Space, Data, ...  
Bandwidth, processors, ...  
→ Complexity.

$Q$  = Finite Set of states

$\Sigma$  = Finite Set of symbols

$s_0 \in Q$  = Initial state

$b \in \Sigma$  = Blank symbol

$A \subseteq Q$  = Set of Final states

$\delta \subseteq (Q \setminus A \times \Sigma) \times (Q \times \Sigma \times \{L, R\})$  = Transition Relation

If the transition relation is one-to-one (graph of a transition  
function) the computation is <sup>(bijective)</sup>

DETERMINISTIC; otherwise, NONDETERMINISTIC.

↓  
Computation Tree Model.  
(Branching Time Logic)

↳ Most Creative Guesser

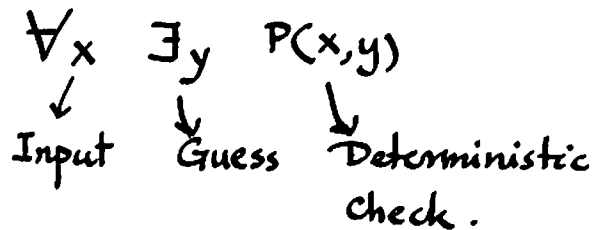
ALWAYS GUESS THE CORRECT "PATH"

## NONDETERMINISM

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Guess- & - Check  
"Abelard- Eloise Game"

"For every instance of the problem, there exists a guessed soln, so that it can be checked that the guessed soln satisfies the desired decision."



Abelard - Eloise - Game.

Quantifier-Elimination: How powerful is the ability to guess?

Determinism vs Nondeterminism.

FSA vs NFSA

P vs NP  $\longrightarrow$  Core Question in CS.

DTM vs NDTM.

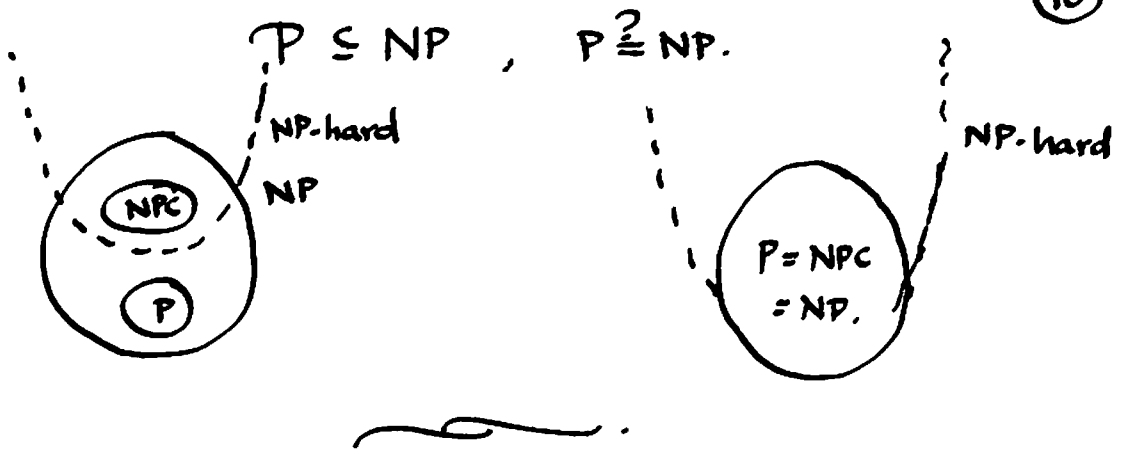
DTIME( $f(n)$ )  $\leftarrow$  A problem of inputsize  $n$  requiring  $O(f(n))$  computation time to solve using DTM.

$$P = \bigcup_{k \in \mathbb{N}} \text{DTIME}(n^k)$$

NTIME( $f(n)$ )  $\leftarrow$  A problem of inputsize  $n$  requiring  $O(f(n))$  computation time to solve using NDTM.

$$NP = \bigcup_{k \in \mathbb{N}} \text{NTIME}(n^k)$$

$$p(x,y) \in P \iff \exists y, |y| \leq |x|^c \quad p(x,y) = q(x) \in NP$$

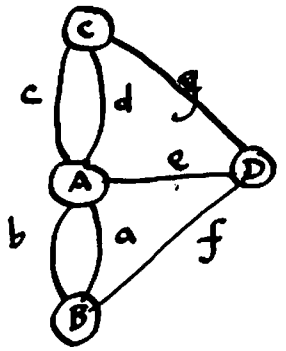


Historical Digression:

18<sup>th</sup> Century Königsberg (Kaliningrad).

Seven Bridges straddling river Pagel

Can you walk through Königsberg in a way that crosses each bridge ~~exactly~~ over Pagel exactly once?



Generalize:

DATA:  $G = (V, E)$  connected undirected graph with  $E \subseteq V \times V$ .

DESIDERATA: Does  $G$  have a walk (trail, non-simple path)

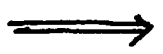
$v_1, e_{i_1}, v_2, e_{i_2}, \dots, v_n, e_{i_n}, v_{n+1} \in EC$

$e_{ij} = (v_i, v_{i+1}) \in E$

$e_{ij} \neq e_{ik}$  (all edges are distinct  $\Rightarrow$  trail)

$v_1 = v_n$  (vertices need not be distinct  $\Rightarrow$  non-simple path)

Closed Trail = Tour



that includes every edge  $\forall e \in E, e \in EC?$

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CLOSED TRAIL THAT INCLUDES EVERY EDGE  
≡ EULERIAN CYCLE

A graph containing an Eulerian Cycle = Eulerian Graph.

Leonhard Euler: (1736).

Theorem: A connected graph contains an Eulerian Cycle  
iff every vertex has even degree.

(Proved by Carl Hierholzer 100 years later).

Corollary: If exactly two vertices have odd degree,  
it contains an Eulerian path but not  
an Eulerian cycle.

$G = \text{Eulerian} \iff \forall v \in V \text{ deg}(v) \equiv 0 \pmod{2}$

$\iff \forall v \in V \quad |Adj(v)| \equiv 0 \pmod{2}$

$\iff \forall v \in V \quad \sum_{\substack{u \neq v \\ u \in V}} A_{uv} \equiv 0 \pmod{2}$

$A = \text{Adjacency Matrix}$

Eulerian { Path }  $\in \mathcal{P}$   
          { Cycle }

Proof: One direction is trivial: If you enter a vertex by  
an edge incident on it, then  
you must exit by another edge  
incident on it.

Other direction  $\rightarrow$  Proof by induction on the  
size of the graph.

$\rightarrow$  FLEURY'S ALGORITHM.

## FLEURY'S ALGORITHM

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Let  $G'$  be the graph formed by the edges you have not yet crossed.

$G'$  must have an Eulerian path (two vertices of odd degree:  $s$  and  $t$ )

Extend the trail ( $G \setminus G'$ ) by selecting an edge (incident on  $s$  or  $t$ ) so that removal of that edge from  $G'$  results in a graph  $G''$  that is also Eulerian.

$$T(n) = T(n-1) + \text{poly}(n) \Rightarrow T(n) = O(n \text{ poly}(n)) \in P.$$



## Sir William Rowan Hamilton (1859)

Icosian Game: Walk around the edges of dodecahedron while visiting each vertex once and exactly once.

Generalize:

DATA:  $G = (V, E)$  connected directed graph with  $E \subseteq V \times V$ .

DESIDERATA: Does  $G$  have a simple path

$$v_{\pi(1)}, v_{\pi(2)}, \dots, v_{\pi(n)} \quad \pi \in S_n, |V| = n$$

such that

$$\forall i \quad \begin{aligned} (v_{\pi(i)}, v_{\pi(i+1)}) &\in E \\ (v_{\pi(n)}, v_{\pi(1)}) &\in E \end{aligned} \quad ?$$

A SIMPLE CYCLE CONTAINING EVERY VERTEX  
 $\equiv$  HAMILTONIAN CYCLE.

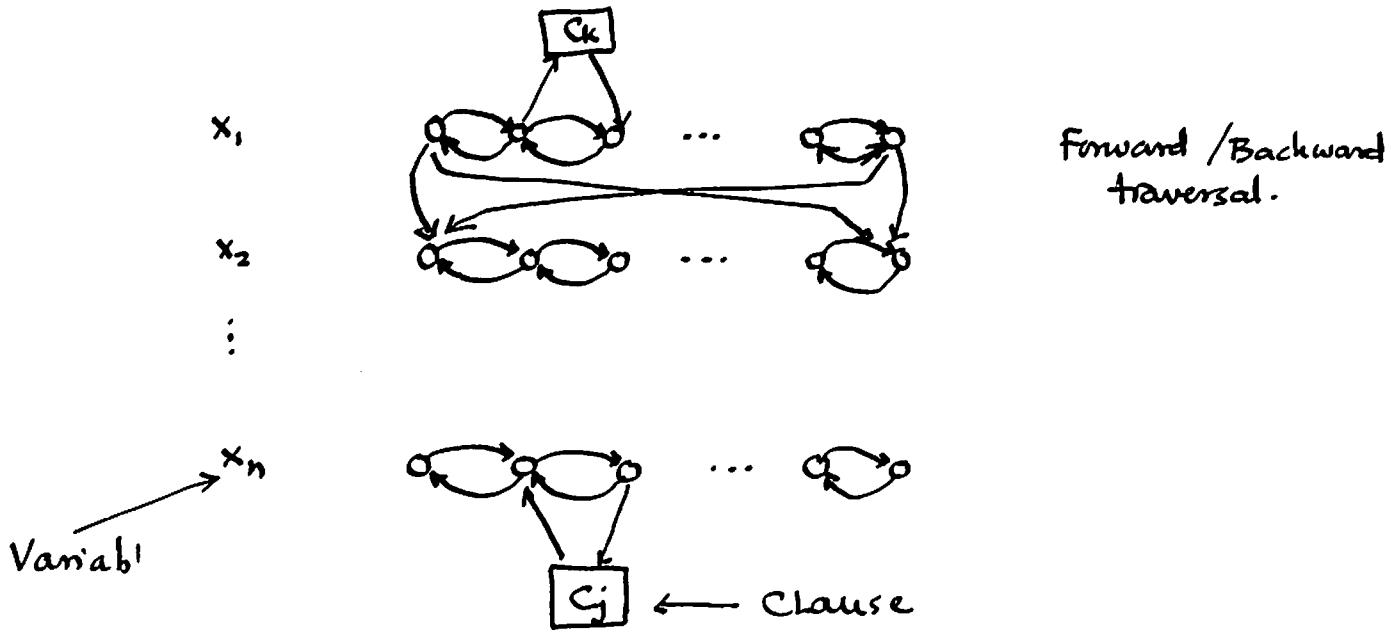
A graph containing a Hamiltonian Cycle  $\equiv$  Hamiltonian Graph.

Graph,  $G = (V, E)$  is Hamiltonian iff  $\textcircled{13}$   
 $\exists \pi \in S_n \quad v_{\pi(1)}, v_{\pi(2)}, \dots, v_{\pi(n)} = \text{Simple Cycle.}$

Hamiltonian  $\left\{ \begin{array}{l} \text{Path} \\ \text{Cycle} \end{array} \right\} \in \text{NP.}$

Can we find some way to characterize it, so that it is in P?

Gadgets:



$3\text{-SAT} \leq_p \text{Hamiltonian Cycle.}$

Traveling Salesman Problem: (TSP)

Data:  $G = (V, E) = \text{Undirected weighted complete graph}$   
 $E = V \times V$   
 $w: E \rightarrow \mathbb{R}^+$

Desiderata: A Hamiltonian Cycle  $C^* = v_{\pi(1)}, v_{\pi(2)}, \dots, v_{\pi(n)}$   
 $C^* = \min_{\pi \in S_n} \sum_{i=1}^n w(v_{\pi(i)}, v_{\pi(i+1)})$   $\pi(n+1) \equiv \pi(1)$

Metric TSP:

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\*  ~~$w(t, u) \geq 0$~~

$$w(u, v) \geq 0; \quad w(u, v) = w(v, u);$$

$$w(t, u) + w(u, v) \geq w(t, v)$$

Positive, Symmetric, satisfying triangle inequality.

Euclidean TSP:

$$V \subseteq \mathbb{R}^d$$

$v = (x_1, x_2, \dots, x_d) = d$ -dimensional point

$$w(u, v) = \left( \sum_{i=1}^d (x_i - y_i)^2 \right)^{1/2}, \quad \text{where}$$

$$u = (x_1, x_2, \dots, x_d)$$

$$v = (y_1, y_2, \dots, y_d)$$

$$w(u, v) \geq 0, \quad w(u, v) = w(v, u) \quad \&$$

$$w(t, v) \leq w(t, u) + w(u, v).$$

Solution to Metric TSP:

a) Brute-force: Try all  $n! = |S_n|$  possible permutations...  
Enumerate and Test.

$$n! = O(2^n \ln n) = T(n)$$

b) Dynamic Programming:

$C(S, j)$  = shortest path starting at 1 visits all nodes in  $S$  and ends at  $j$

$$C(S, k) = \begin{cases} d_{1, k}, & \text{if } S = \{1, k\}; \\ \min_{\substack{m \neq k \\ m \in S}} [C(S \setminus \{k\}, m) + d_{m, k}], & \text{otherwise.} \end{cases}$$

$$T(n) = (n-1) \sum_{k=1}^{n-3} \binom{n-2}{k} + 2(n-1) \sim O(n^2 2^n)$$

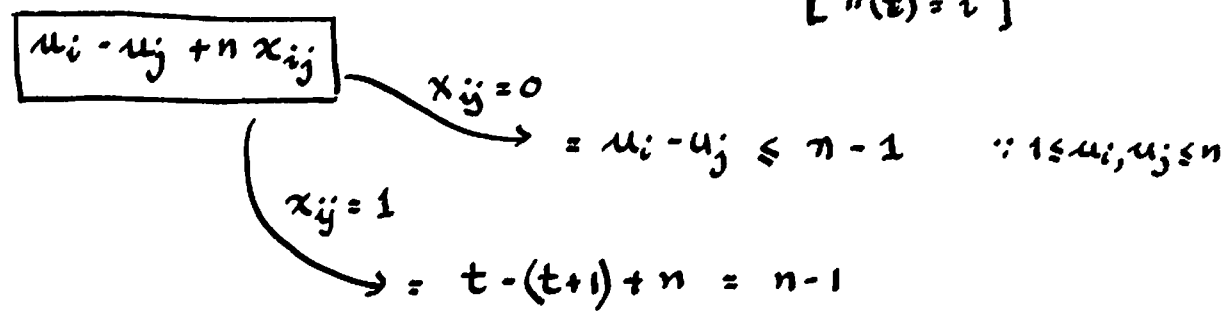
### c) Integer Programming

$$V = \{0, \dots, n\}$$

$x_{ij} = \begin{cases} 1, & \text{the path goes from node } i \text{ to node } j; \\ 0, & \text{otherwise.} \end{cases}$

$u_i =$  Auxiliary Variable  $\in \mathbb{N}$

Integer valued - Intuition:  $u_i = t$  the city visited in step  $t$   
[  $\pi(t) = i$  ]



TSP:

$$\min \sum_{i=0}^n \sum_{\substack{j \neq i \\ j=0}}^n w_{ij} x_{ij}$$

$$0 \leq x_{ij} \leq 1 \quad u_i \in \mathbb{Z} \quad \forall i, j = 0, \dots, n$$

$$\sum_{i=0, i \neq j}^n x_{ij} = 1 \quad \forall j = 0, \dots, n$$

$$\sum_{j=0, j \neq i}^n x_{ij} = 1 \quad \forall i = 0, \dots, n$$

$$u_i - u_j + n x_{ij} \leq n - 1 \quad \forall \substack{i, j = 0, \dots, n \\ i \neq j}$$



## Bounds:

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### a) Lower Bounds:

Let  $C$  be a Hamiltonian Cycle in  $G$  with a total cost of  $c^*$ , which is minimal.

Let  $e \in C$  be an edge in the Hamiltonian Cycle  
Then  $C \setminus \{e\}$  is a spanning tree of  $G$ .

$\Rightarrow$  If  $M$  is a MST (minimal spanning tree) of  $G$ .

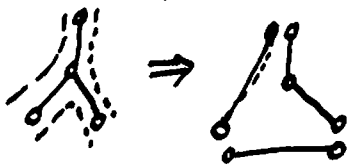
$$w(M) \leq w[C \setminus \{e\}] \leq c^*$$

### b) Upper Bound (Metric TSP)

$$w(M) \leq c^* \leq 2w(M)$$

a) Traverse the tree twice to create a closed walk.

b) Short-cut ~~around~~ around the vertices ~~to turn the~~ that are visited more than once to turn the walk to a path and shorten the distance (using triangle inequality).



$$c) \quad c^* \leq 2w(M)$$

### c) Upper Bound (Metric TSP).

a)  $M =$  MST of  $G$ .

b)  $O =$  Odd degree vertices in  $M$   $|O| =$  Even.

$$\left[ \because \sum_{v \in V} \deg(v) = 2(n-1) \right]$$

c)  $TSP(O) \leq c^*$

Complete Subgraph induced by  $O$

Delete all vertices from  $C$  except the ones in  $O$ , and connect the subpaths by shorter paths



d)  $M' =$  Minimum weight Perfect Matching in the complete subgraph induced by  $O$ .

e) TSP(O) can be broken up into two possible perfect matchings

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$M_1$  and  $M_2$

$$\omega(M') \leq \min[\omega(M_1), \omega(M_2)] \leq \frac{1}{2}[\omega(M_1) + \omega(M_2)] \\ = \frac{1}{2} \text{TSP}(O) = \frac{1}{2} c^*$$

f) Combine  $M$  and  $M'$  to create a multigraph  $H$ ;  $H$  has even degrees and connected  
 $\Rightarrow H = \text{Eulerian}$

g) Turn the Eulerian Cycle into a Hamiltonian Cycle by short-cutting [skip visited nodes]  
 $\Rightarrow \hat{C}$

$$c^* \leq \omega(\hat{C}) \leq \omega(H) \leq \omega(M) + \omega(M') \\ \leq c^* + \frac{1}{2} c^* = \frac{3}{2} c^*$$

~~$\frac{2}{3} \omega(\hat{C}) \leq c^* \leq \omega(\hat{C})$~~

$$\frac{2}{3} \omega(\hat{C}) \leq c^* \leq \omega(\hat{C})$$

