

November 12 2013

LECTURE # 9

(61)

Completeness Thm

Gödel 1930: If  $\Gamma \not\vdash \phi$  then  $\Gamma \vdash \neg \phi$ .

(Show that any consistent set of formulas is satisfiable)  
↓  
Model exists!

$\mathcal{L}$  = Language (+ Signature,  $\Sigma$ )

$c$  = Constant symbol

$\mathcal{L}_c$  = The result of adjoining  $c$  to  $\mathcal{L}$ .  $\left. \begin{array}{l} \therefore \mathcal{L}_c = \mathcal{L} \\ \text{if } c \text{ already occurs in } \mathcal{L}. \end{array} \right\}$

$C$  = Set of constant symbols.

$\mathcal{L}_C$  = The language resulting from  $\mathcal{L}$  by adjoining a set  $C$  of constants to  $\mathcal{L}$ .  
= A CONSTANT EXPANSION OF  $\mathcal{L}$ .

$\alpha_z^c$  = Formula arising from  $\alpha$  by replacing constant  $c$  with variable  $z$ .

$X_z^c = \{ \alpha_z^c \mid \alpha \in X \} \Rightarrow \left\{ \begin{array}{l} c \text{ no longer} \\ \text{occurs in } X_z^c \end{array} \right\}$

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CEL : **Constant Elimination Lemma.**

Suppose  $X \vdash_{\mathcal{L}_c} \alpha$ . Then  $X^c \vdash_{\mathcal{L}} \alpha^c$ , } for almost all variables  $x$ .  
□

Proof: By induction in  $\vdash_{\mathcal{L}_c}$  ( $\Rightarrow$  HW).

**Corollary 1** RCQ **Rule of Constant Quantification**

$$\frac{X \vdash \alpha^c}{X \vdash \forall x \alpha} \quad (c \notin x, \alpha, \text{ etc.}) \quad \square$$

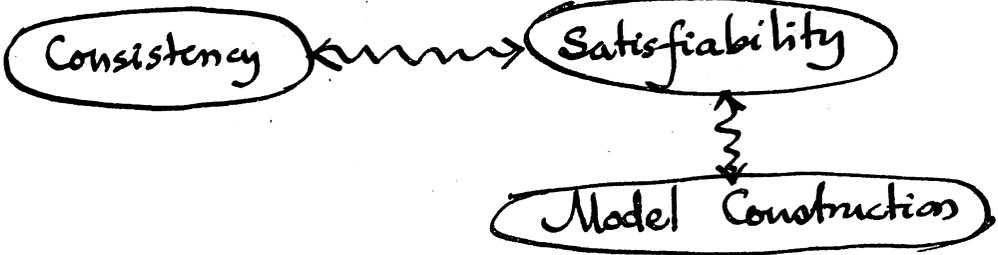
**Corollary 2** **Conservative Expansion.**

Let  $C$  be any set of constant symbols &  $\mathcal{L}' = \mathcal{L}C$ .

Then, for all  $X \subseteq \mathcal{L}$  and  $\alpha \in \mathcal{L}$   
 $X \vdash_{\mathcal{L}} \alpha$  iff  $X \vdash_{\mathcal{L}'} \alpha$ . □

**Abuse of Notation.**

- ①  $\vdash$  stands for derivability relation in  $\mathcal{L} \dots$  (and in every constant expansion  $\mathcal{L}' = \mathcal{L}C$ ).
- ②  $X \subseteq \mathcal{L}$ ;  $X \not\vdash \perp \equiv$  'X is consistent' (No need to distinguish bet'n consistency of  $X$  w.r.t.  $\mathcal{L}$  or  $\mathcal{L}' \dots$ )



# MODEL CONSTRUCTION

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For each variable  $x$  and each wff  $\alpha \in \mathcal{L}$

$\Rightarrow$  Choose a constant  $c_{x,\alpha}$  not occurring in  $\mathcal{L}$  as follows:

$$(*) \quad \alpha^x := \neg \forall x \alpha \wedge \alpha_c^x$$

$$c := c_{x,\alpha}$$

Thus  $\neg \alpha^x := \exists x \neg \alpha \rightarrow \neg \alpha_c^x$

Thus, constant  $c$  is a counter-example to the validity of  $\alpha$ .



## LEMMA

Let

$$T_{\mathcal{L}} := \{ \neg \alpha^x \mid \alpha \in \mathcal{L}, x \in \text{var} \}, \text{ where } \alpha^x \text{ is defined by } (*)$$

$\& \mathcal{X} \subseteq \mathcal{L}$  is consistent.

Then  $\mathcal{X} \cup T_{\mathcal{L}}$  is consistent as well.

Proof: Suppose not. I.e.  $\mathcal{X} \cup T_{\mathcal{L}} \vdash \perp$ .

Then  $\exists n \geq 0 \exists \neg \alpha_0^{x_0}, \dots, \neg \alpha_n^{x_n}$  (= wff's) s.t.

$$\mathcal{X} \cup \{ \neg \alpha_i^{x_i} \mid i \leq n \} \vdash \perp$$

But  $\mathcal{X}' := \mathcal{X} \cup \{ \neg \alpha_i^{x_i} \mid i < n \} \not\vdash \perp$ .

$$x := x_n; \alpha := \alpha_n \quad c = c_{x,\alpha}$$

$$\therefore \mathcal{X}' \cup \{ \neg \alpha^x \} \vdash \perp$$

$$\Rightarrow \mathcal{X}' \vdash \alpha^x := \neg \forall x \alpha \wedge \alpha_c^x$$

$$\Rightarrow \mathcal{X}' \vdash \neg \forall x \alpha; \mathcal{X}' \vdash \alpha_c^x; \mathcal{X}' \vdash \alpha_c^x \rightarrow \forall x \alpha \quad (\text{Ax IV})$$

$$\Rightarrow \mathcal{X}' \vdash \neg \forall x \alpha; \mathcal{X}' \vdash \forall x \alpha \quad (\text{MP})$$

$$\Rightarrow \mathcal{X}' \vdash \perp$$

#

□

## Henkin Set

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$X \subseteq \mathcal{L}$  is a Henkin Set

if  $X$  satisfies the following two conditions

$$(H1) \quad X \vdash \neg \alpha \Leftrightarrow X \not\vdash \alpha$$

$$(H2) \quad X \vdash \forall x \alpha \Leftrightarrow X \vdash \alpha_c^x$$

for all constant  $c \in \mathcal{L}$   $\square$

(H3) For each term  $t \in \mathcal{L}$  there is a constant  $c \in \mathcal{L}$  such that  
 $X \vdash t = c$ .

$$(H1) \wedge (H2) \Rightarrow (H3)$$

## Lemma H

Let  $X \subseteq \mathcal{L}$  be consistent (i.e.  $X \not\vdash \perp$ ).

Then there exists a Henkin Set  $Y \supseteq X$  in a suitable constant expansion  $\mathcal{L}_C$  of  $\mathcal{L}$ .  $\square$

(L1) Every Henkin set has a model (TERM MODEL).

(L2) Every consistent set has a model.

(L3) Every consistent set is satisfiable.

$\Rightarrow$

## COMPLETENESS THEOREM.

Let  $\mathcal{L}$  denote any first order language.  $X \subseteq \mathcal{L}$ ,  $\alpha \in \mathcal{L}$ .

Then

$$X \vdash \alpha \Leftrightarrow X \models \alpha, \text{ for all } X \subseteq \mathcal{L} \text{ and } \alpha \in \mathcal{L}.$$

$\square$

Proof of LEMMA H.

$L_0 := L, X_0 := X;$

Assume that we have already defined

$L_n \text{ \& } X_n.$

Take all  $\alpha \in L_n$  and  $x \in \text{var}.$

Construct new constants...

$C_{x,\alpha,n} := C_n$

$L_{n+1} := L_n C_n$

$\Gamma L_n := \{ \neg \alpha^x \mid \alpha \in L_n, x \in \text{var} \}$

$X_{n+1} := X_n \cup \Gamma L_n$

$X_{n+1} \subseteq L_{n+1}$  \&  $X_{n+1}$  = consistent. (Lemma pp 63)

$\therefore$

$\forall n, X_n \not\vdash \perp, X_n \subseteq L_n.$  (By induction)

$X' := \bigcup_{n \in \mathbb{N}} X_n; L' := \bigcup_{n \in \mathbb{N}} L_n; C := \bigcup_{n \in \mathbb{N}} C_n.$

(A)  $X' \not\vdash \perp$  (By Finiteness of proof)

(B)  $\forall \alpha \in L', x \in \text{var} \dashv\vdash \neg \alpha^x \in X'$

$\alpha \in L', x \in \text{var} \Rightarrow \alpha \in L_n$  (for minimal such  $n$ ),  $x \in \text{var}$   
 $\Rightarrow \neg \alpha^x \in X_{n+1}$   
 $\Rightarrow \neg \alpha^x \in X'$

(C)  $X'$  has a maximal consistent extension  $\not\equiv Y$  s.t.

$\forall \alpha \in L', x \in \text{var} \quad Y \vdash \neg \alpha^x$

Let  $(H, \subseteq)$  be the partial order over all consistent extensions of  $X'$  in  $L'$ .  $H \neq \emptyset$ , since  $X' \in H$ .

Every chain  $K \subseteq H$  has an upper bound in  $H$

$= \bigcup K, \bigcup K \not\vdash \perp$  ( $\because$  Every member is consistent.)

By Zorn's Lemma,  $H$  has a consistent maximal element

$Y \supseteq X'. Y \not\vdash \perp. \boxed{Y = \text{Henkin}}$

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$$(D) \quad Y \vdash \neg \alpha^x \quad \forall \alpha \in \mathcal{L}', x \in \text{var.}$$

$Y \supseteq X'$  and  $\neg \alpha^x \in X'$  (by construction)

$$(H1) \quad Y \vdash \alpha \Leftrightarrow Y \vdash \neg \alpha \quad (\because Y = \text{consistent})$$

$$(H2) \quad (\Rightarrow) \quad \frac{Y \vdash \forall x \alpha, \quad Y \vdash \forall x \alpha \rightarrow \alpha_c^x \quad (AxII)}{Y \vdash \alpha_c^x} \quad (MP)$$

$$(\Leftarrow) \quad Y \vdash \alpha_c^x \quad \forall c \in \mathcal{L}' \quad (\text{i.e. } Y \vdash \alpha_c^x, c := c_x, \alpha, n \text{ } \alpha \in \mathcal{L}_n)$$

Suppose  $Y \not\vdash \forall x \alpha$

$$\Rightarrow \frac{Y \vdash \neg \forall x \alpha \text{ (By H1)}, \quad Y \vdash \alpha_c^x}{Y \vdash \neg \forall x \alpha \wedge \alpha_c^x}$$

$$\frac{Y \vdash \alpha_c^x \quad Y \vdash \neg \alpha_c^x \quad (\because \neg \alpha_c^x \in \Gamma_{\mathcal{L}_n} \subseteq Y)}{Y \vdash \perp \Rightarrow \#.} \quad \square$$

Consider a term  $t$  and a new variable  $x \notin \text{var } t$ .

$$\alpha := t \neq x$$

$$Y \vdash \neg \forall x t \neq x$$

$$\Rightarrow Y \not\vdash \forall x t \neq x \quad (\text{By H1})$$

$$\Rightarrow Y \not\vdash t \neq c \quad \text{for some } c \quad (\text{By H2})$$

$$\Rightarrow Y \vdash t = c \quad \text{for some } c \quad (\text{By H1}).$$

Thus, (H3) For each term  $t$  there is a constant  $c$  s.t

$$Y \vdash t = c. \quad \square$$

## TERM MODEL

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$T$  = Term algebra of all the terms in  $\mathcal{L}$ .

$Y$  = Henkin Set  $Y \subseteq \mathcal{L}$ .

Equivalence Relation on the Set  $T$ :  $\approx$

$$t \approx t' \text{ iff } Y \vdash t = t'$$

Thus for every variable  $x \in \text{var}$  there is a constant  $c$  s.t.

$$x \approx c \text{ and } Y \vdash x = c.$$

$\Rightarrow \rho$  = variable assignment.

$A := T/\approx$   $\left\{ \begin{array}{l} \text{Partition of } T \text{ into equivalence classes} \\ \text{with respect to the relation } \approx \end{array} \right.$

Model =  $M := (A, \rho) \leftarrow$  Show that  $M$  is a model of  $Y$ .

## MAIN LEMMA

Every Henkin Set  $Y \subseteq \mathcal{L}$  possesses a (term) model.

proof: Construct a term model  $M := (A, \rho)$  as above.

(I)  $\approx$  is a congruence relation

For every  $n$ -ary function  $f$ , and  $n$ -ary relation  $P$ , and terms  $t_i$  and  $t'_i$

$$t_1 \approx t'_1 \wedge t_2 \approx t'_2 \wedge \dots \wedge t_n \approx t'_n \\ \Rightarrow f\vec{t} \approx f\vec{t}' \wedge P\vec{t} \approx P\vec{t}'$$

(II) For each term  $t \in T$ , there is a constant  $c$  s.t.  $c \approx t$  ( $\because Y$  = Henkin, use (H3)).

$$M := (A, \rho)$$

$$A := \{\bar{c} \mid c \in C\}; \quad x^M := \bar{x}; \quad c^M := \bar{c};$$

$$f^M(\bar{t}_1, \dots, \bar{t}_n) := \overline{f(t_1, \dots, t_n)}$$

$$P^M(\bar{t}_1, \dots, \bar{t}_n) := \overline{P(t_1, \dots, t_n)} \Leftrightarrow Y \vdash P(t_1, \dots, t_n)$$

We need to prove

$$(A) t^M = \bar{t}$$

$$(B) M \models \alpha \text{ iff } \Upsilon \vdash \alpha$$

(A) By induction on the structure of the term  $\bar{t}$ .

$$t_i^M = \bar{t}_i \quad i=1, \dots, n$$

$$t^M = f^M(t_1^M, \dots, t_n^M) = f^M(\bar{t}_1, \dots, \bar{t}_n) \\ = \overline{f(t_1, \dots, t_n)} = \bar{t}$$

(B) By induction on structure of  $\alpha$

$$M \models t = s \Leftrightarrow t^M = s^M \\ \Leftrightarrow \bar{t} = \bar{s} \\ \Leftrightarrow t \approx s \Leftrightarrow \Upsilon \vdash x = s$$

$$M \models P\bar{t} \Leftrightarrow P^M t_1^M \dots t_n^M \\ \Leftrightarrow P^M \bar{t}_1 \dots \bar{t}_n \Leftrightarrow \Upsilon \vdash P\bar{t}$$

$$M \models \neg \alpha \Leftrightarrow M \not\models \alpha \\ \Leftrightarrow \Upsilon \not\vdash \alpha \quad (IH) \Leftrightarrow \Upsilon \vdash \neg \alpha \quad (H)$$

$$M \models \alpha \wedge \beta \Leftrightarrow M \models \alpha \text{ and } M \models \beta \\ \Leftrightarrow \Upsilon \vdash \alpha \text{ and } \Upsilon \vdash \beta \quad (IH) \Leftrightarrow \Upsilon \vdash \alpha \wedge \beta \quad (H1 \& H2)$$

$$M \models \forall x \alpha \Leftrightarrow M_c^x \models \alpha \text{ for all } c \in C \\ \Leftrightarrow M_{c^M}^x \models \alpha \Leftrightarrow M \models \alpha_c^x \quad \forall c \in C \\ \Leftrightarrow \Upsilon \vdash \alpha_c^x \Leftrightarrow \Upsilon \vdash \forall x \alpha \quad (H2) \quad \square$$

Corollary 1: Model Existence Thm } Each consistent  $X \subseteq L$   
has a model.

Corollary 2: Completeness Thm