

# Computational Systems Biology: Biology X

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Human Population Genomics

# Outline

- 1 Recapitulation: Wright-Fisher & Moran models
- 2 Coalescence

“Damn the Human Genomes. Small initial populations; genes too distant; pestered with transposons; feeble contrivance; could make a better one myself.”

–Lord Jefferey (badly paraphrased)

# Outline

- 1 Recapitulation: Wright-Fisher & Moran models
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# Wright-Fisher model

- Model of population for genealogical relationship among genes — Wright (1931) and Fisher (1930).
- Idealized haploid model of reproduction: Model of transmission of genes from one generation to the next in a population of fixed size; population of  $2N$  genes, corresponding to  $N$  diploid or  $2N$  haploid individuals.
- Each of the genes of generation  $t + 1$  are obtained by copying the gene of a random individual from generation  $t$ ; this process is repeated until  $2N$  genes have been sampled to create the population at  $t + 1$ .
- A gene in generation  $t$  might not have any descendant in generation  $t + 1$  and thus its lineage dies out.

# Moran model

- An alternative model to Wright-Fisher — Moran (1958)
- Moran model allows overlapping generations
- The population has  $2N$  haploid individuals or genes
- A new generation is created from the previous one by sampling randomly to give birth to a new gene, and one gene to die: The gene that dies is distinct from the one that gives birth. Population size remains fixed.
- The Moran model rules out the possibility of multiple coalescent events in the same generation (i.e., no more than two genes share the same common ancestor in the previous generation).

- Thus, one out of  $\binom{2N}{2}$  possible pairs has the desired coalescent property, Thus the natural time scale is in units of  $N(2N - 1)$  Moran-generations, rather than in units of  $2N$  Wright-Fisher generations.
- After adjusting for the differences in time scales, the two models have approximately equivalent coalescence and fixation properties.

# Assumptions of the Wright-Fisher Model

- **Discrete and non-overlapping generations:** For humans, a generation (from conception to reproduction) is assumed to be about 25 years.
- **Haploid individuals vs. two subpopulation:** Note that in practice, generation time differs for males and females, e.g., 30 vs. 20 years. If the selection does not involve heterosis, the difference has little quantitative consequence.
- **The population size is constant:** Population bottleneck effects not accounted for.

# Assumptions of the Wright-Fisher Model

- **All individuals are equally fit:** Presence and strength of natural selection is ignored.
- **The population has no geographical or social structure:** It is a hard assumption to relax; but very important in modeling mechanism of reproduction in a real population.
- **The genes do not recombine within the population:** Mitochondria and Y chromosomes are possible exceptions... Must be modeled by an ancestral recombination graph.

# Number of Descendants

- Number of descendants of a particular gene,  $i$ , in generation  $t$ : A stochastic variable.
- Let  $v_i$  be the number of descendants of gene  $i$  in generation  $t$ ...  $1 \leq i \leq 2N$ .

$$Pr(v_i = k) = \binom{2N}{k} \left(\frac{1}{2N}\right)^k \left(1 - \frac{1}{2N}\right)^{2N-k} \approx \frac{1}{k!} e^{-1}.$$

- This is a binomial distribution  $\text{Bin}(m, p)$  ( $m = 2N$ ;  $p = 1/2N$ ) with a Poisson approximation  $\text{Poisson}(1)$ .

- The moment generating function is  $\psi(t) = \left[1 + \frac{(e^t - 1)}{2N}\right]^{2N}$ , and for  $v_i$ , its mean is 1 and variance is

$$2N \frac{1}{2N} \left(1 - \frac{1}{2N}\right) = 1 - \frac{1}{2N}.$$

- If mean number had deviated from one, the population would grow without bound, or shrink to extinction.
- The covariance of the off-spring number for two genes  $i$  and  $j$  is

$$\text{Cov}(v_i, v_j) = E(v_i v_j) - E(v_i)E(v_j) = -\frac{1}{2N}.$$

- The correlation coefficient is

$$\text{Corr}(v_i, v_j) = \frac{\text{Cov}(v_i, v_j)}{\sqrt{\text{Var}(v_i)\text{Var}(v_j)}} = -\frac{1}{2N - 1}.$$

# Covariance

- A negative covariance is expected because if gene  $i$  leaves many descendants in next generation, then gene  $i$  is more likely to leave few.
- However,  $v_i$  and  $v_j$  are almost independent of each other for large  $2N$ .
- Note that the probability that a gene has no immediate descendant is  $Pr(v_i = 0) = e^{-1}$ . Thus approximately 0.63 fraction of all genes have descendants.
- In a few generations (i.e., relative to  $2N$ ) a randomly mating population descends from a small number of genes.

# Descendants

- If  $d_j$  denotes the probability that a gene in generation  $j$  leaves no descendant in the present generation, then  $d_1 = e^{-1} \approx 0.37$ . Furthermore,

$$d_j = \sum_{k=0}^{\infty} \frac{1}{k!} e^{-1} (d_{j-1})^k = e^{d_{j-1}-1}, \quad \text{for } j > 1.$$

- For example,  $d_{10} = 0.85$  and  $d_{50} = 0.96$ .
- An entire population of size  $2N = 10,000$  descends from approximately  $2N(1 - d_{50}) = 400$  genes 50 generations ago.

# Outline

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# Coalescence of a Sample of Two Genes

- What is the distribution of the waiting time until the MRCA (Most Recent Common Ancestor) of two genes sampled in a model with  $2N$  genes?
- (a) The probability  $p$  that these two genes find an ancestor in the first generation back in time is  $p = \frac{1}{2N}$  the first gene chooses its parent freely, the second must choose the same parent out of  $2N$  possibilities; (b) The probability  $q$  that the two genes have different ancestors is therefore  $q = 1 - \frac{1}{2N}$ .
- The probability that the two genes finds a common ancestor exactly  $j$  generations back is

$$\Pr(T_2 = j) = q^{j-1} p = \left(1 - \frac{1}{2N}\right)^{j-1} \frac{1}{2N}.$$

- Note

$$\begin{aligned}Pr(T_2 \geq j) &= q^{j-1} p [1 + q + q^2 + \dots] \\ &= q^{j-1} \approx 1 - e^{-(j-1)/2N}.\end{aligned}$$

- Thus

$$\begin{aligned}Pr(T_2 \leq j) &= p [1 + q + q^2 + \dots + q^{j-1}] \\ &= 1 - q^j \approx 1 - e^{-j/2N}.\end{aligned}$$

- Note that these models assume a *Markov Property*: That is the probability of an event (such as *coalescence*) depends on the present state of the population — **The process has no memory of events prior to the present.**
- It also implicitly assumes that **the number of offsprings is distributed as a Poisson process with parameter 1**. In reality the mean or variance of the number of offspring may deviate from the expected value 1 (with population bottlenecks, etc.) They result in significant deviation from the predicted model.

# Statistics

- Thus  $T_2 \sim \text{Geo}(1/2N)$  is geometrically distributed with parameter  $p = \frac{1}{2N}$ . Hence, it has mean and variance

$$\text{Mean} = E(T_2) = \frac{1}{p} = 2N$$

$$\text{Variance} = \text{Var}(T_2) = \frac{1-p}{p^2} = 2N(2N-1).$$

- Thus the expected time until a MRCA is the same as the number of genes in the population.

# Coalescence of a Sample of $n$ Genes

- The waiting time for  $k(\leq n)$  genes to have less than  $k$  ancestral lineages: The probability that  $k$  genes have exactly  $k$  different ancestors in the previous generation is

$$\frac{(2N-1)}{2N} \frac{(2N-2)}{2N} \dots \frac{(2N-k+1)}{2N} = \prod_{i=1}^{k-1} \left(1 - \frac{i}{2N}\right)$$

$$= 1 - \binom{k}{2} \frac{1 + o(1)}{2N}.$$

- Thus, as before, we have

$$\Pr(T_k = j) \approx \left\{ 1 - \binom{k}{2} \frac{1}{2N} \right\}^{j-1} \binom{k}{2} \frac{1}{2N}.$$

- Thus  $T_k$  has approximately a geometric distribution with parameter  $\binom{k}{2}/(2N)$ . Note that the times  $T_2, \dots, T_n$  are independent.

# Properties of Geometric Distributions

- Assume that  $t_2 > t_1$ . Then

$$Pr(T > t_2 | T > t_1) = Pr(T > t_2 - t_1).$$

- Let  $S$  and  $T$  be two independent geometrically distributed random variables.  $S \sim Geo(p)$  and  $T \sim Geo(p')$ , then

$$\min(S, T) \sim Geo(p + p' - pp').$$

## Properties of Exponential Distributions

- Assume that  $t_2 > t_1$ , and  $V \sim \text{Exp}(a)$  and  $U \sim \text{Exp}(b)$  are two independent exponentially distributed random variables. Then

$$\Pr(U > t_2 | U > t_1) = \Pr(T > t_2 - t_1)$$

$$E(V) = \frac{1}{a} \quad \text{Var}(V) = \frac{1}{a^2}$$

$$E(U) = \frac{1}{b} \quad \text{Var}(U) = \frac{1}{b^2}$$

$$\Pr(v < U) = \frac{a}{a + b}, \text{ and}$$

$$\min(U, V) \sim \text{Exp}(a + b)$$

# Continuous Time Approximation

- One unit of time corresponds to the average time for two genes to find a common ancestor:  $E(T_2) = 2N$  generations. Time is scaled by a factor of  $2N$  (or  $N$  or in some cases,  $4N$ ).
- Coalescent becomes independent of the population size. **The structure of the coalescent process is the same for any population as long as the sample size is small relative to population size  $2N$ .**

$$n \ll 2N.$$

Only the time scale differs between populations when  $2N$  varies.

# Rescaling Time

- Let  $t = j/(2N)$ , where  $j$  is time measured in generations.  $j = 2Nt$ . The waiting time,  $T_k^c$ , in the continuous representation (for  $k$  genes to have  $k - 1$  ancestors) is exponentially distributed  $T_k^c \sim \text{Exp}(\binom{k}{2})$ .

$$\Pr(T_k^c \leq t) = 1 - e^{-\binom{k}{2}t}.$$

# Stochastic Algorithm to Sample Genealogies for $n$ Genes

## • Algorithm

- 1 Start with  $k = n$  genes. Repeat until  $k = 1$ :
  - 1 Simulate the waiting time  $T_k^c$  to the next event  
 $T_k^c \sim \text{Exp}(\binom{k}{2})$ .
  - 2 Choose a random pair  $(i, j)$  with  $1 \leq i < j \leq k$  uniformly from the  $\binom{k}{2}$  possible pairs.
  - 3 Merge  $i$  and  $j$  into one gene and decrease the sample size by one:  $k \mapsto k - 1$ .

# Effective Population Size

- Most real populations show some form of reproductive structure: either due to geological proximity of individuals or due to social constraints. Also, the number of descendants of a gene in one generation does not follow the Poisson distribution with intensity one.
- For a real population, the population size of the haploid Wright-Fisher that “best approximates” the real population is called the effective population size  $N_e$ . One could choose one of the following two:

$$N_e^{(i)} = \frac{1}{2Pr(T_2 = 1)}, \quad \text{or} \quad N_e^{(t)} = \frac{E(T_2)}{2}.$$

- $N_e^{(i)}$  (*inbreeding effective population size*) relates to the immediate past, whereas  $N_e^{(t)}$  relates to the number of generations until an MRCA is found.
- For the haploid Wright-Fisher model, both definitions agree  $N_e^{(i)} = N_e^{(t)} = N$ , since

$$\Pr(T_2 = 1) = \frac{1}{2N}, \quad \text{and} \quad E(T_2) = 2N.$$

# Diploid Model

- In the diploid model with  $N_f = cN$  females and  $N_m = (1 - c)N$  males:

$$Pr(T_2 = 1) = \left(1 - \frac{1}{2N}\right) \frac{N}{8N_f N_m}.$$

- Hence

$$N_e \approx 4c(1 - c)N.$$

- There are other robust ways of defining effective population size: but the differences are minor.

# Mutation

Three interesting models:

- The infinite alleles model — Kimura and Crow 1964
- The infinite sites model — Kimura 1969
- The finite sites model - Jukes and Cantor 1969

Mutations are assumed to be selectively neutral. Thus the mutation process can be separated from the genealogical process.

- In the absence of **selection**, the mutational process and the transmission of genes from one generation to the next are independent processes.
- Thus a sample configuration or  $n$  genes can be simulated using a two step procedure:
  - 1 Simulate the genealogy of  $n$  genes;
  - 2 Add mutations to the genealogy according to the **chosen** model.

# The Wright-Fisher Model with Mutation

- Impose a process of mutation on top of the process of reproduction.
- Each gene chosen to be passed on is subject to a mutation with probability  $u$ . [[With probability  $1 - u$  the gene is copied without modification to the offspring, and with probability  $u$  it mutates.]]
- If we follow a lineage from the present time to the past, then with probability  $u$  the parental gene in generation  $t$  differs from the offspring gene at time  $t + 1$ .
- The probability that a lineage experiences the first mutation  $j$  generations back is

$$Pr(T_M = j) = u(1 - u)^{j-1} \approx \frac{u}{u - 1} e^{-uj}.$$

# Continuous Approximation

- If time is measured in units of  $2N$  generations (like in coalescence) then

$$\Pr(T_M \leq j) = 1 - (1 - u)^j \approx 1 - e^{-\theta t/2} = \Pr(T_M^c \leq t),$$

where  $t = j/(2N)$ ,  $\theta = 4Nu$  and  $T_M^c$  is the time in  $2N$  (assumed large) generations units.

- The parameter  $\theta$  is called the *population mutation rate* or the *scaled mutation rate*. It also tells us about how fixation and mutations work against each other...

## $n > 2$ Lineages

- Consider  $n$  disjoint lineages. The time until the first mutation event in any of the  $n$  lineages is exponentially distributed with parameter  $n\theta/2$ .
- If we wait for mutation events or coalescence events then the parameter of the exponentially distributed waiting time is the sum of the two parameters, which is

$$\binom{n}{2} + \frac{n\theta}{2} = \frac{n(n-1+\theta)}{2}.$$

- Whether the first event is a coalescence or a mutation is determined by a Bernoulli trial:
- With probability

$$\frac{\binom{n}{2}}{\binom{n}{2} + \frac{n\theta}{2}} = \frac{n-1}{n-1+\theta},$$

the event is a coalescence; and

- With probability

$$\frac{\theta}{n-1+\theta},$$

it is a mutation.

# Stochastic Algorithm to Sample Genealogies with Mutations

## Algorithm

- 1 Start with  $k = n$  genes (sample size). Repeat until  $k = 1$ :
  - 1 Simulate the waiting time  $T_k^c$  to the next event  
 $T_k^c \sim \text{Exp}(k(k-1+\theta)/2)$ .
  - 2 With probability  $(k-1)/(k-1+\theta)$  the event is coalescence, and with probability  $\theta/(k-1+\theta)$  the event is mutation.
  - 3 **Case Coalescence:** Choose a random pair  $(i, j)$  with  $1 \leq i < j \leq k$  uniformly from the  $\binom{k}{2}$  possible pairs. Merge  $i$  and  $j$  into one gene and decrease the sample size by one:  $k \mapsto k - 1$ .
  - 4 **Case Mutation:** Choose a lineage at random to leave. The sample size  $k$  remains unchanged.

# [End of Lecture #10]

\*\*\*THE END\*\*\*